# Relations between Shannon entropy and genome order index in segmenting DNA sequences 

Yi Zhang*<br>Department of Mathematics, Hebei University of Science and Technology, Shijiazhuang, Hebei 050018, People's Republic of China

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#### Abstract

Shannon entropy $H$ and genome order index $S$ are used in segmenting DNA sequences. Zhang et al. [Phys. Rev. E 72, 041917 (2005)] found that the two schemes are equivalent when a DNA sequence is converted to a binary sequence of S (strong H bond) and W (weak H bond). They left the mathematical proof to mathematicians who are interested in this issue. In this paper, a possible mathematical explanation is given. Moreover, we find that Chargaff parity rule 2 is the necessary condition of the equivalence, and the equivalence disappears when a DNA sequence is regarded as a four-symbol sequence. At last, we propose that $S-2^{-H}$ may be related to species evolution.


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## I. INTRODUCTION

The rapid growth of DNA sequences in the DNA databases has made analysis of DNA sequence very important in biology. Turning points (or segmentation points), through which the base composition undergoes sudden changes, usually have significant biological implications, including replication origins [1] and integration sites of horizontally transferred genes or genomic islands [2,3]. In bioinformatics, the Markov model [4,5], the recursive entropy [6-8], the cumulative GC profile [9], the wavelet multiple scale analysis [10], and the genome order index [11] are usual segmentation algorithms. The genome order index is especially noticeable for its simpleness and speediness. In 2004, Zhang and Zhang [12] proposed the genome order index $S=a^{2}+c^{2}+g^{2}$ $+t^{2}$, where $a, c, g, t$ are frequencies of bases $A, C, G, T$ in the genome, respectively. Based on the values of $S$ and $H$ ( $H=$ $-\log _{2}\left(a^{a} c^{c} g^{g} t^{t}\right)$, the entropy of distributions of four bases in a genome) for 627 virus genomes, they found that $\frac{1}{4} \leq S<\frac{1}{3}$ is valid for almost all genomes, meanwhile the correlation coefficient between $S$ and $H$ is calculated and found to be equal to -1. In 2005, Zhang et al. [11] found the equivalence of $H$ and $S$ in segmenting DNA sequences. But they left the mathematical proof to mathematicians who are interested in this issue. Recently, scientists gave some discussions about the two indices [13,14]. The relation between $S$ and $H$, as well as those among the two indices and $G+C$ content still needs further study.

## II. PROOF AND DISCUSSION

According to Zhang et al. [11], we describe the genome order index and entropy-based segmentation algorithms as follows. Consider a genome with $N$ bases. Let $n$ be an integer, $2 \leq n \leq N-1$. For a given $n$, the genome sequence is partitioned into two sequences, one left and the other right. Let $P=\left(p_{1}, p_{2}, \ldots, p_{k}\right)$ and $Q=\left(q_{1}, q_{2}, \ldots, q_{k}\right)$ be two probability distributions, where $0 \leq p_{i}, q_{i} \leq 1$, for $i=1,2, \ldots, k$, and $\sum_{i=1}^{k} p_{i}=1, \sum_{i=1}^{k} q_{i}=1$. Define

[^0]$$
S(P)=\sum_{i=1}^{k} p_{i}^{2}
$$
which is the genome order index in the case of $k=4$. Let $P_{l}=\left(a_{l}, g_{l}, c_{l}, t_{l}\right)$ and $P_{r}=\left(a_{r}, g_{r}, c_{r}, t_{r}\right)$, where $a_{l}, g_{l}, c_{l}, t_{l}$ and $a_{r}, g_{r}, c_{r}, t_{r}$ are the occurrence frequencies of bases $A, C, G$, and $T$ in the left and right subsequences, respectively. Define
\[

$$
\begin{align*}
\Delta S\left(P_{l}, P_{r}\right)= & (n / N) S\left(P_{l}\right)+[(N-n) / N] S\left(P_{r}\right)-S\left\{(n / N) P_{l}\right. \\
& \left.+[(N-n) / N] P_{r}\right\}, \quad n=2, \ldots, N-1 . \tag{1}
\end{align*}
$$
\]

Suppose that $n^{*}$ is a position at which $\Delta S\left(P_{l}, P_{r}\right)$ reaches maximum; then, $n^{*}$ is a compositional segmentation point of the genome first found. The algorithm is recursive. Similarly, the Jensen-Shannon divergence is defined by

$$
\begin{equation*}
D(n)=H-\left(\frac{n}{N} H_{\text {left }}+\frac{N-n}{N} H_{\text {right }}\right), \quad n=2, \ldots, N-1, \tag{2}
\end{equation*}
$$

where $H_{\text {left }}$ and $H_{\text {right }}$ are the Shannon entropy for the left and right subsequences, respectively. Suppose that $n^{*}$ is calculated by $D\left(n^{*}\right)=\max D(n)$, if $D\left(n^{*}\right)$ is above a given threshold, then $n^{*}$ is deemed a segmentation point. The algorithm is also recursive.

Zhang et al. [11] found the coordinates of segmentation points derived from the genome order index and entropybased segmentation algorithms are all identical when a DNA sequence is converted into a binary sequence. But they left the mathematical proof for such equivalence to mathematicians who are interested in this issue.

Given a DNA sequence, by $p_{\text {max }}$ and $p_{\text {min }}$ we denote the $\max \{a, c, g, t\}$ and the $\min \{a, c, g, t\}$, respectively, and write $p:=\frac{p_{\text {min }}}{p_{\text {max }}}$. By $A_{m}$ we denote an arithmetic mean of $\frac{a}{p_{\text {max }}}, \frac{g}{p_{\text {max }}}$, $\frac{c}{p_{\text {max }}}$, and $\frac{t}{p_{\text {max }}}$ defined as follows:

$$
\begin{equation*}
A_{m}=\frac{a^{2}+g^{2}+c^{2}+t^{2}}{p_{\max }}=\frac{S}{p_{\max }} \tag{3}
\end{equation*}
$$

Similarly, a geometric mean (written as $G_{m}$ ) of them is defined to be

$$
\left(\frac{a}{p_{\max }}\right)^{a}\left(\frac{g}{p_{\max }}\right)^{g}\left(\frac{c}{p_{\max }}\right)^{c}\left(\frac{t}{p_{\max }}\right)^{t},
$$

which is calculated as

$$
\begin{equation*}
G_{m}=\frac{1}{2^{H} p_{\max }} \tag{4}
\end{equation*}
$$

where $H=-\log _{2}\left(a^{a} c^{c} g^{g} t^{t}\right)$, as we mentioned in Sec. III.
When the Chargaff parity rule 2 is considered, the following theorem gives the relation between $A_{m}$ and $G_{m}$.

Theorem 1. Here,

$$
\begin{equation*}
A_{m}=G_{m}+o\left(\left|p_{\min }-\frac{1}{4}\right|\right) . \tag{5}
\end{equation*}
$$

Proof. By the Chargaff parity rule 2 [15] which says $a$ $=t$ and $g=c$, there are only two cases: (i) $a=t=p_{\text {max }}, g=c$ $=p_{\text {min }}$ or (ii) $a=t=p_{\text {min }}, g=c=p_{\text {max }}$. With these conditions we have

$$
G_{m}=\left(\frac{p_{\min }}{p_{\max }}\right)^{2 p_{\min }}\left(\frac{p_{\max }}{p_{\max }}\right)^{2 p_{\max }}=p^{2 p_{\min }}
$$

and

$$
A_{m}=2 p_{\max } \frac{p_{\max }}{p_{\max }}+2 p_{\min } \frac{p_{\min }}{p_{\max }}=1+2 p_{\min }(p-1) .
$$

Note that $2 p_{\text {max }}+2 p_{\text {min }}=a+g+c+t=1$. Setting $p_{\text {min }}=x$, we have $p_{\text {max }}=0.5-x$ and $p=\frac{p_{\text {min }}}{p_{\text {max }}}=\frac{x}{0.5-x}$. Correspondingly,

$$
G_{m}=p^{2 p_{\min }}=\left(\frac{x}{0.5-x}\right)^{2 x}
$$

and

$$
A_{m}=1+2 p_{\min }(p-1)=\frac{8 x^{2}-4 x+1}{1-2 x} .
$$

Taylor's mean value theorem says that, when $x_{0} \in(a, b)$ and $f(x)$ is $(n+1)$-order differentiable in $(a, b), \forall x \in(a, b)$, we have $f(x)=f\left(x_{0}\right)+\frac{f^{\prime \prime}\left(x_{0}\right)}{1!}\left(x-x_{0}\right)+\frac{f^{\prime \prime}\left(x_{0}\right)}{2!}\left(x-x_{0}\right)^{2}+\cdots$ $+\frac{f^{(n)}\left(x_{0}\right)}{n!}\left(x-x_{0}\right)^{n}+o\left[\left(x-x_{0}\right)^{n}\right]$. Naturally, $\forall x \in(0,1)$, by setting ${ }^{n!} x_{0}=\frac{1}{4} \in(0,1)$, one can get Taylor series for function $f(x)=A_{m}-G_{m}$ as follows:

$$
\begin{aligned}
f(x)= & A_{m}-G_{m}=\frac{8 x^{2}-4 x+1}{1-2 x}-\left(\frac{x}{0.5-x}\right)^{2 x}=8\left(x-\frac{1}{4}\right)^{2}+32\left(x-\frac{1}{4}\right)^{3} \\
& +\frac{224}{3}\left(x-\frac{1}{4}\right)^{4}+\frac{896}{3}\left(x-\frac{1}{4}\right)^{5}+o\left[\left(x-\frac{1}{4}\right)^{5}\right]=o\left(\left|x-\frac{1}{4}\right|\right) .
\end{aligned}
$$

So,

$$
A_{m}=G_{m}+o\left(\left|p_{\min }-\frac{1}{4}\right|\right)
$$

Consequently, the negative correlation between the $S$ $=a^{2}+c^{2}+g^{2}+t^{2}$ and $H=-\log _{2}\left(a^{a} c^{c} g^{g} t^{t}\right)$ always holds, as shown by Theorem 2 .

Theorem 2. $H$ is negatively correlated with $S$.


FIG. 1. (Color online) The circle plot demonstrates the relationship between $S$ and $H$ of 1000 groups of random values for $a, c, g$, and $t$. The curve is the plot of function $S=2^{-H}$.

Proof. Based on Eqs. (3) and (4) and Theorem 1 we come to the conclusion immediately:

$$
\begin{aligned}
S= & 2^{-H}+p_{\max }\left(A_{m}-G_{m}\right)=2^{-H}+2\left(x-\frac{1}{4}\right)^{2}-\frac{40}{3}\left(x-\frac{1}{4}\right)^{4} \\
& +o\left[\left(x-\frac{1}{4}\right)^{4}\right]=2^{-H}+o\left(\left|x-\frac{1}{4}\right|\right) .
\end{aligned}
$$

Obviously, $H$ is negatively correlated with $S$.
Based on Theorem 2, it is necessary that correlation coefficient between $S$ and $H$ is -1 , which was calculated based on 627 virus genomes in [12]. Moreover, in Fig. 1 of [11], Zhang et al. pointed out that $H$ and $S$ are linearly correlated. However, according to Theorem 2, $S \approx 2^{-H}$. When $H$ $\in[1.86,2]$, the straight line (in Fig. 1) and curve $S=2^{-H}$ are approximately overlapped. In order to show the general rule independent of data, a general graph is plotted in Fig. 1. We pick 1000 groups of random values for $a, c, g, t$ and plot $S$ against $H$. The result is quite striking, an odd cusped bounded area near the curve of function $S=2^{-H}$. It may verify $S-2^{-H}=o\left(\left|x-\frac{1}{4}\right|\right)$. Moreover, the density is highly concentrated in the region $[1.86,2]$, where $S$ seems to be linearly related to $H$.

In Fig. 3 of [12], Zhang and Zhang presented the correlation between " $G+C$ content" and Shannon Entropy $H$, as well as the correlation between $G+C$ content and genome order index $S$. In fact, based on the conclusion shown above, such correlations are also necessary.

Theorem 3. With Chargaff parity rule 2,

$$
\begin{equation*}
H=1-\log _{2} x^{x}-\log _{2}(1-x)^{(1-x)} \tag{6}
\end{equation*}
$$

where $x=g+c$.
Proof. By the Chargaff parity rule 2, $a=t$ and $g=c$, there are only two cases: (i) $a=t=p_{\max }, g=c=p_{\text {min }}$ or (ii) $a=t$ $=p_{\text {min }}, g=c=p_{\text {max }}$. With these conditions, using


FIG. 2. (Color online) The upper is the plot of $H=1-\log _{2} x^{x}$ $-\log _{2}(1-x)^{(1-x)}$; the lower is the plot of $S=x^{2}-x+\frac{1}{2}$.

$$
G_{m}=\frac{1}{2^{H} p_{\max }}
$$

and

$$
G_{m}=\left(\frac{p_{\min }}{p_{\max }}\right)^{2 p_{\min }}\left(\frac{p_{\max }}{p_{\max }}\right)^{2 p_{\max }}=p^{2 p_{\min }}
$$

we come to the conclusion immediately: $H=1-\log _{2} x^{x}$ $-\log _{2}(1-x)^{(1-x)}$, where $x=g+c$.

Theorem 4. With Chargaff parity rule 2,

$$
\begin{equation*}
S=x^{2}-x+\frac{1}{2} \tag{7}
\end{equation*}
$$

where $x=g+c$.
Proof. By the Chargaff parity rule 2, $a=t$ and $g=c$, there are only two cases: (i) $a=t=p_{\max }, g=c=p_{\min }$, or (ii) $a=t$ $=p_{\text {min }}, g=c=p_{\text {max }}$. With these conditions, using

$$
A_{m}=\frac{a^{2}+g^{2}+c^{2}+t^{2}}{p_{\max }}=\frac{S}{p_{\max }}
$$

and

$$
A_{m}=2 p_{\max } \frac{p_{\max }}{p_{\max }}+2 p_{\min } \frac{p_{\min }}{p_{\max }}=1+2 p_{\min }(p-1)
$$

we come to the conclusion immediately: $S=x^{2}-x+\frac{1}{2}$, where $x=g+c$.

Then, we plot $H=1-\log _{2} x^{x}-\log _{2}(1-x)^{(1-x)}$ and $S=x^{2}$ $-x+\frac{1}{2}$ for $x \in(0.2,0.8)$ in Fig. 2, respectively. The plots are consistent with those shown in Fig. 3 of [12]. It means the correlations among $S, H$ and $G+C$ content appeared in Fig. 3 of [12] are necessary. On the other hand, the consistency also verifies the correctness of above theorems.

From a physics point of view, the entropy generally is maximized. Based on the negative correlation of $S$ and $H$, most genomes are likely to maximize their entropy and minimize their $S$, which makes $S<\frac{1}{3}$ valid to most genomes except those appeared above the line $S=\frac{1}{3}$ in Fig. 3 of [12].

TABLE I. Absolute and relative difference between $S$ and $2^{-H}$ of some DNA segments of the MHC, where the start and end points represent the base positions of the MHC.

| Start point | End point | $S-2^{-H}$ | $\frac{S-2^{-H}}{S+2^{-H}}$ |
| :---: | :---: | :---: | :---: |
| 1 | 2483966 | 0.0006888 | 0.0006874 |
| 2483966 | 3673777 | 0.0043608 | 0.0043039 |
| 2483966 | 3384906 | 0.0079023 | 0.0077161 |
| 3384906 | 3673777 | 0.0000377 | 0.0000377 |
| 3384906 | 3444779 | 0.0033878 | 0.0033535 |
| 3444779 | 3673777 | 0.0000564 | 0.0000564 |
| 2483966 | 3054365 | 0.0094747 | 0.0092072 |
| 3054365 | 3384906 | 0.0055121 | 0.0054214 |
| 1 | 1841871 | 0.0017523 | 0.0017431 |
| 1841871 | 2483966 | 0.0003481 | 0.0003478 |
| 1 | 833239 | 0.0032821 | 0.0032498 |
| 833239 | 1841871 | 0.0008454 | 0.0008432 |
| 1841871 | 2276710 | 0.0001611 | 0.0001610 |
| 2276710 | 2483966 | 0.0009711 | 0.0009683 |

Elhaik et al. [13] claimed that " $S<\frac{1}{3}$ is a mathematical property of $S$ that should be always valid regardless of specific data." This claim is wrong in the case where the frequencies $a=0.6, c=0, g=0.4, t=0$, so $S=0.52>\frac{1}{3}$. Note that in [14] Zhang gave a similar example.

When a DNA sequence is converted into a binary sequence of S (strong H bond) and W (weak H bond), set $x$ $=\min \{a+t, g+c\}$, we have

$$
\begin{equation*}
S-2^{-H}=\left[x^{2}+(1-x)^{2}\right]-\left[\left(x^{x}\right)(1-x)^{(1-x)}\right] . \tag{8}
\end{equation*}
$$

Setting $x_{0}=\frac{1}{2}$, we can calculate the Taylor series of ( $S$ $-2^{-H}$ ) as follows. $S-2^{-H}=\left[x^{2}+(1-x)^{2}\right]-\left[\left(x^{x}\right)(1-x)^{(1-x)}\right]$ $=\left[\frac{1}{2}+\log 2-\frac{1}{2} \times(\log 2)^{2}-\frac{1}{2} \times(1-\log 2)\right] \times(-1+\log 2) \times(x$ $\left.-\frac{1}{2}\right)^{2}+o\left(x-\frac{1}{2}\right)^{2}=o\left(\left|x-\frac{1}{2}\right|\right)$. Obviously, when $o\left(\left|x-\frac{1}{2}\right|\right)$ is small enough, $S$ and $2^{-H}$ can be regarded as equal.

Generally speaking, for a DNA sequence, the difference between $S$ and $2^{-H}$ is very small. Following Zhang et al. [11], we take the complete sequence of human major histocompatibility complex (MHC) as an example. The MHC is 3673777 bp long and can be seen from [16]. Recently, due to the extensive study of its isochore structure, the MHC sequence becomes a touchstone for testing any segmentation algorithm. We list 14 pairs of $S-2^{-H}$ and $\frac{S-2^{-H}}{S+2^{-H}}$ in Table I for 14 segments of the MHC, respectively. Obviously, $S-2^{-H}$ is very small. It verifies the equivalence of $S$ and $2^{-H}$ for DNA segmentation. Additionally, when $2^{-H}$ increases, $H$ will decrease. Consequently, when $H$ reaches the maximum, $S$ will reach the minimum. In this sense, the closeness of $S$ and $2^{-H}$ may underlie the equivalence of $S$ and $H$ in segmenting DNA. That is to say, the equivalence of $S$ and $2^{-H}$ may make $\Delta S\left(P_{l}, P_{r}\right)$ [in Eq. (1)] and $D(n)$ [in Eq. (2)] reach their maximum at the same point.

Note that article [11] only pointed out that the equivalence exists in the MHC and other human chromosomes; according to the mathematical analysis above, such equivalence should

TABLE II. Absolute and relative difference between $S$ and $2^{-H}$ of some DNA segments of the $E$. coli, where the start and end points represent the base positions of the E. coli.

| Start point | End point | $S-2^{-H}$ | $\frac{S-2^{-H}}{S+2^{-H}}$ |
| ---: | ---: | :---: | :---: |
| 1 | 526200 | 0.0003766925089 | 0.0003762669374 |
| 526200 | 4639675 | 0.0000412604561 | 0.0000425526665 |
| 526200 | 2113505 | 0.0000004425977 | 0.0000004425941 |
| 2113505 | 4639675 | 0.0001183103201 | 0.0001182683322 |
| 526200 | 2100883 | 0.0000000355739 | 0.0000000355735 |
| 2100883 | 2113505 | 0.0112656857554 | 0.0108079149083 |
| 2113505 | 2126034 | 0.0024776783140 | 0.0024592963188 |
| 2126034 | 4639675 | 0.0001141155947 | 0.0001140765310 |

exist in all genomes. This conclusion should be regarded as an extension of the result in [11]. In order to verify the extension, we take the E. coli K12 (NC_000913, 4639675 bp ) as an example. As expected, $S$ and $H$ present complete equivalence in segmenting the $E$. coli genome (data not shown). Merely, in Table II, we present the differences of $S$ and $2^{-H}$ for some $E$. coli DNA segments to show that, on the segment points, $S-2^{-H}$ values are always small enough to make $S$ and $H$ equivalent.

Analysis shown above indicates that the equivalence of $H$ and $S$ in segmenting DNA sequences should derive from the equivalence of $S$ and $2^{-H}$, and it also shows that the equivalence of $H$ and $S$ is mathematical, neither biological nor chemical.

From the proof of Theorem 1, one can see that a necessary condition of the equivalence of $H$ and $S$ is Chargaff parity rule 2 . That is to say when Chargaff parity rule 2 is not
obeyed, the equivalence should be broken. For example, when a DNA sequence is regarded as a four-symbol sequence, i.e., when deviations from Chargaff parity rule 2 (saying $|g-c|$ and $|a-t|$ ) is considered, the equivalence will not be perfect anymore. According to the result of Zhang et al. [11], in the segment between 3444780 and 3673777 of the MHC, for $S$ and $H$ schemes, there are three common segmentation points: 3491519,3552176 , and 3638110 when two-symbol ( S and W ) sequence is used. But for foursymbol $(A, G, C$, and $T)$ sequences, $S$ and $H$ result in different segmentation points, which are 3637779 and 3632040 , respectively, i.e., the equivalence is broken. In fact, only 1396 980, 1490 846, 1742 437, 1841871,2483966 and 3444780 are common segmentation points for two-symbol and four-symbol sequences of the MHC. This example shows that Chargaff parity rule 2 is really the necessary condition of the equivalence of $H$ and $S$ in segmenting DNA sequences. Additionally, in article [13], Elhaik et al. claimed that " $S$ and $H$ functions are strictly equivalent to and derivable from each other;" from our discussion, their conclusion is not correct.

## III. APPLICATION OF $S-2^{-H}$ IN ANALYZING SEQUENCE SIMILARITY

Through studying the $S-2^{-H}$ values of DNA sequences from different species, we found that the invariant is species specific, and it is related to species evolution to a certain extent. Historically, many researchers have studied the evolutionary similarity between different organisms by examining the similarity of biological sequences, which has been reviewed by Nandy et al. in [17]. In this paper, we use the DNA sequences presented in Table III to study the similarity

TABLE III. The coding sequences of the exon 1 of beta-globin gene of ten different species.

| Species | Coding sequence | Length |
| :---: | :---: | :---: |
| Bovine | ATGCTGACTGCTGAGGAGAAGGCTGCCGTCACCGCCTTTTGGGGCAAGGTGAAAGTGGATGAAGTTGGTGGTGAGGCCCTGGGCAG | 86 |
| Chimpanzee | ATGGTGCACCTGACTCCTGAGGAGAAGTCTGCCGTTACTGCCCTGTGGGGCAAG-GTGAACGTGGATGAAGTTGGTGGTGAG-GCCCTGGGCAGGTTGGTATCAAGG | 105 |
| Goat | ATGCTGACTGCTGAGGAGAAGGCTGCCGTCACCGGCTTCTGGGGCAAGGTGAAAGTGGATGAAGTTGGTGCTGAGGCCCTGGGCAG | 86 |
| Gorilla | ATGGTGCACCTGACTCCTGAGGAGAAGTCTGCCGTTACTGCCCTGTGGGGCAAGGTGAACGTGGATGAAGTTGGTGGTGAGGCCCTGGGCAGG | 93 |
| Human | ATGGTGCACCTGACTCCTGAGGAGAAGTCTGCCGTTACTGCCCTGTGGGGCAAGGTGAACGTGGATGAAGTTGGTGGTGAGGCCCTGGGCAG | 92 |
| Lemur | ATGACTTTGCTGAGTGCTGAGGAGAATGCTCATGTCACCTCTCTGTGGGGCAAGGTGGATGTAGAGAAAGTTGGTGGCGAGGCCTTGGGCAG | 92 |
| Mouse | ATGGTTGCACCTGACTGATGCTGAGAAGTCTGCTGTCTCTTGCCTGTGGGCAAAGGTGAACCCCGATGAAGTTGGTGGTGAGGCCCTGGGCAGG | 94 |
| Opossum | ATGGTGCACTTGACTTCTGAGGAGAAGAACTGCATCACTACCATCTGGTCTAAGGTGCAGGTTGACCAGACTGGTGGTGAGGCCCTTGGCAG | 92 |
| Rabbit | ATGGTGCATCTGTCCAGTGAGGAGAAGTCTGCGGTCACTGCCCTGTGGGGCAAGGTGAATGTGGAAGAAGTTGGTGGTGAGGCCCTGGGC | 90 |
| Rat | ATGGTGCACCTAACTGATGCTGAGAAGGCTACTGTTAGTGGCCTGTGGGGAAAGGTGAACCCTGATAATGTTGGCGCTGAGGCCCTGGGCAG | 92 |

TABLE IV. The $S-2^{-H}$ of the ten DNA sequences presented in Table III.

| Species | $S-2^{-H}$ |
| :--- | :--- |
| Bovine | 0.0177 |
| Chimpanzee | 0.0145 |
| Goat | 0.0176 |
| Gorilla | 0.0158 |
| Human | 0.0145 |
| Lemur | 0.0135 |
| Mouse | 0.0096 |
| Opossum | 0.0031 |
| Rabbit | 0.0190 |
| Rat | 0.0086 |

between ten species.
First, the $S-2^{-H}$ values of the ten DNA sequences are calculated and listed in Table IV. Apparently, opossum has the least $S-2^{-H}$ value for it is the only pouched animal among the ten species. Four primates have similar $S-2^{-H}$ values, showing their close evolution relations. Especially, the values from chimpanzee and human are identical.

Naturally, the similarity between the ten species can be measured by the "differences" between their $S-2^{-H}$ values, as listed in Table V.

From Table V, we see that the three kinds of primate (human, chimpanzee, and gorilla) DNA sequences are strongly similar to each other for the entries associated with them are all very small. Opossum shows great dissimilarity with others for it is the only pouched animal listed here. This is coincident with the results reported in [18-24]. Table V also shows that the small entry is associated with the pair bovine goat. So, one may expect $S-2^{-H}$ is related to species evolution.

To further show the $S-2^{-H}$ being related to species evolution, we apply it to the other data set, which includes 19 mitochondrial genomes (shown in Table VI).

By our method, a relationship tree for the 19 DNA sequences is obtained, as shown in Fig. 3. For concision, cor-

TABLE VI. The accession number and length of 19 mitochondrial genomes.

| Species | Accession No. | Length (bp) |
| :---: | :---: | :---: |
| Blue whale | NC001601 | 16402 |
| Fin whale | NC001321 | 16398 |
| Opossum | NC003039 | 17191 |
| Baboon | NC001992 | 16521 |
| Bornean orangutan | NC001646 | 16389 |
| Chimpanzee | NC001643 | 16554 |
| Common gibbon | NC002082 | 16472 |
| Cow | NC006853 | 16338 |
| Donkey | NC001788 | 16670 |
| Gray seal | NC001602 | 16797 |
| Harbor seal | NC001325 | 16826 |
| Hippopotamus | NC000889 | 16407 |
| Horse | NC001640 | 16660 |
| Human | NC001807 | 16571 |
| White rhinoceros | NC001808 | 16832 |
| Indian rhinoceros | NC001779 | 16829 |
| Platypus | NC000891 | 17091 |
| Sheep | NC001941 | 16616 |
| Sumatran orangutan | NC002083 | 16499 |

responding $S-2^{-H}$ values and the similarity matrix are not shown.

In Fig. 3, the relationship tree of 19 species is reasonable. Two pouched out groups, i.e., platypus and opossum, are grouped together and located far away from others. All primates, including human, chimpanzee, baboon, common gibbon, Sumatran orangutan, and Bornean orangutan are close in the relationship tree. Additionally, the close relationships between other species, including gray-seal and harbor-seal, fin whale and blue whale, Indian rhinoceros and white rhinoceros, horse and donkey, and cow and sheep are also reasonable. These relationships are consistent with those shown in [25]. Based on the discussion above, one may come to a

TABLE V. The similarity/dissimilarity matrix for the ten coding sequences of Table III based on the differences between $S-2^{-H}$ values shown in Table IV.

|  | Bovine | Chimpanzee | Goat | Gorilla | Human | Lemur | Mouse | Opossum | Rabbit | Rat |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Bovine | 0 | 0.0033 | 0.0001 | 0.0019 | 0.0033 | 0.0042 | 0.0081 | 0.0147 | 0.0012 | 0.0091 |
| Chimpanzee |  | 0 | 0.0032 | 0.0014 | 0 | 0.001 | 0.0048 | 0.0114 | 0.0045 | 0.0059 |
| Goat |  |  | 0 | 0.0018 | 0.0032 | 0.0042 | 0.0008 | 0.0146 | 0.0013 | 0.0091 |
| Gorilla |  |  |  | 0 | 0.0014 | 0.0023 | 0.0062 | 0.0127 | 0.0031 | 0.0072 |
| Human |  |  |  |  | 0 | 0.001 | 0.0048 | 0.0114 | 0.0045 | 0.0059 |
| Lemur |  |  |  |  |  | 0 | 0.0038 | 0.0104 | 0.0055 | 0.0049 |
| Mouse |  |  |  |  |  |  | 0 | 0.0066 | 0.0093 | 0.0011 |
| Opossum |  |  |  |  |  |  |  | 0 | 0.0159 | 0.0055 |
| Rabbit |  |  |  |  |  |  |  |  | 0 | 0.0104 |
| Rat |  |  |  |  |  |  |  |  |  | 0 |



FIG. 3. The relationship tree for the 19 mitochondrial genomes by KITSCH (or UPGMA) method based on our scheme.

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conclusion that $S-2^{-H}$ is related to species evolution. To give reasons for it, one may expect the $\left|x-\frac{1}{4}\right|$ (appeared in the proof of Theorem 2, $p_{\min }=x$ ) might be related to species evolution, so, based on $S-2^{-H}=o\left(\left|x-\frac{1}{4}\right|\right), S-2^{-H}$ should also be related to species evolution. Perhaps, being modified, $S$ $-2^{-H}$ can deal with similar questions appeared in other fields, such as analyzing similarity for amino acid or codon sequences.
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[^0]:    *zhaqi1972@163.com

